



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicant or Patentee: Faustman, Denise and Hayashi, Takuma
Serial No.: 09/031,629
Filed: February 27, 1998
Entitled: Pathogenesis and Treatment of Autoimmunity-Transcription Defect
Attorney Docket No.: 11275/73537

**VERIFIED STATEMENT (DECLARATION) CLAIMING SMALL ENTITY STATUS
(37 C.F.R. §§1.9(f) AND 1.27(b)) - NONPROFIT ORGANIZATION**

Sir:

I hereby declare that I am an official empowered to act on behalf of the nonprofit organization identified below:

NAME OF ORGANIZATION: The General Hospital Corporation
ADDRESS OF ORGANIZATION: Fruit Street, Boston, Massachusetts 02114

TYPE OF ORGANIZATION:

- ☐ University or other institution of higher education
☒ Tax Exempt Organization under Internal Revenue Service Code (26 U.S.C. §§501(a) and 501(c)(3))
☐ Nonprofit Scientific or Educational Institution under laws of a State of the United States
NAME OF STATE:
CITATION OF STATE LAW:
☐ Organization that would qualify as a Tax Exempt Organization under Internal Revenue Service Code (26 U.S.C. §§501(a) and 501(c)(3)), if located in the United States
☐ Organization that would qualify as a Nonprofit Scientific or Educational Institution under the laws of a State of the United States, if located in the United States
NAME OF STATE:
CITATION OF STATE LAW:

I hereby declare that the nonprofit organization identified above qualifies as a nonprofit organization as defined in 37 C.F.R. §1.9(e) for purposes of paying reduced fees under Sections 41(a) and (b) of Title 35, United States Code, with regard to the invention described in the patent or application identified above.



TITLE OF INVENTION

Pathogenesis and Treatment of Autoimmunity-Transcription Defect

by inventor(s): Faustman, Denise and Hayashi, Takuma
described in

- ☒ the specification filed herewith
☐ Application Serial No. 09/031,629, filed February 27, 1998
☐ Patent No. _____, issued _____

I hereby declare that rights under contract or law have been conveyed to and remain with said nonprofit organization with regard to the invention described in the patent or application identified above.

If the rights held by the nonprofit organization are not exclusive, each individual, concern or organization having rights to the invention is listed below* and no rights to the invention are held by any person, other than the inventor, who could not qualify as a small entity under 37 C.F.R. §1.9(f) or by any concern which would not qualify as a small business concern under 37 C.F.R. §1.9(d) or a nonprofit organization under 37 C.F.R. §1.9(e).

David Glass

NAME OF PERSON SIGNING

for
Associate Director of Patents, Office of Technology Affairs
TITLE IN ORGANIZATION

Fruit Street Boston, Massachusetts 02114
ADDRESS

[Signature]
SIGNATURE

7/8/98
DATE